

| Risk Register - Education and Children's Services Directorate | | | | | | | | | | | | | | | |
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| Print Date: 13 Mar, 2025 | | | | | | | | | | | | | | | |
| Risk Code | Risk Event | Primary Risk Category | Risk Type | Responsible Person | Causes | Risk Effects | Initial Impact | Initial Likelihood | Initial Risk Rating | Existing Controls | Risk Treatment | Revised Impact | Revised Likelihood | Revised Risk Rating | Risk Action Title |
| ES&CSDir01 | Management failure to implement planned savings | Budget / Financial | Operational Risk | Iain G Smith - Service Manager – Resources | Managers lack time and focus to deliver efficiencies to meet required savings targets | Budget overspend impacts on service delivery | 3.00 Significant | 2.00 Possible | Medium | Education Vacancy Panel in place. RTCR procedure in place. Monthly budget monitoring by Resources Section. Quarterly monitoring meetings of Directorate to monitor achievement of savings scheduled into the annual Diary. | Retain the threat | 3.00 Significant | 2.00 Possible | Medium | |
| ES&CSDir02 | High level of staff absence | Workforce | Operational Risk | Neil MacLeod - Service Manager – Performance & Staffing | Workload pressures Staff sickness | Service delivery not at optimum level | 3.00 Significant | 3.00 Likely | High | Sickness absence monitoring and report. Return to work interviews. Sickness absence reviews. | Retain the threat | 3.00 Significant | 3.00 Likely | High | |
| ES&CSDir03 | Incidents or Traffic accidents on home to school transport or ASN Transport | Reputational | Operational Risk | Iain G Smith - Service Manager – Resources | Insufficient monitoring of / or performance of contractors including monitoring and PVG checks. Unsafe vehicles and drivers providing ASN transport. | Serious injury Litigation | 3.00 Significant | 1.00 Unlikely | Low | Home to School Transport contracts contain stringent performance and safety rules monitored by TS Client function on behalf of Education and Children's Services Department. Staff driving vehicles with pupils on board required to have appropriate training. GPS trackers in new ASN vehicle fleet to monitor vehicle position and driver performance and safety. Wearing of seatbelts is now compulsory on school transport vehicles and ASN vehicles. | Retain the threat | 3.00 Significant | 1.00 Unlikely | Low | |
| ES&CSDir04 | Digital infrastructure in schools not sustainable. | Technogical | Operational Risk | Iain G Smith - Service Manager – Resources | Lack of funding to support digital infrastructure in schools (CNES capital allocation for IT is £250k for 5 years). Old switches (12 years old) and Wifi access points failing and digital devices becoming obsolete. Scottish Government failure to provide promised funding for digital devices for schools. | Lack of effective digital teaching and learning devices available for teachers or school pupils. | 3.00 Significant | 3.00 Likely | High | Schools IT firefighting to maximise use of available budget. Installation of SSD drives and additional RAM being undertaken for school devices. | Reduce the threat | 3.00 Significant | 2.00 Possible | Medium | Replace Switches, Wifi access points and digital devise in primary schools |
| ES&CSDir06 | Staff required to carry heavy and awkward items up and down stairs | Workforce | Operational Risk | Neil MacLeod - Service Manager – Performance & Staffing | Lift not working | Serious injury to staff | 3.00 Significant | 2.00 Possible | Medium | Staff are advised to follow manual handling recommendations Item to be retained on Health & Safety agenda | Retain the threat | 3.00 Significant | 2.00 Possible | Medium | |